

06/28/01
1c961 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 09792909-5049

First Named Inventor or Application Identifier

Masataka Shinoda

Express Mail Label No: EL281568850US

06/28/01
1c961 U.S. PTO
09/8968/60

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 107
2. ☒ Drawing(s) (35USC 113) Total Pages 39
3. ☒ Declaration and Power of Attorney Total Pages 2
a. ☒ Unexecuted(original or copy)
b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)
[Note Box 4 Below]
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting Inventor(s) named in the prior application see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired
12. ☒ Copy of Priority Japanese Patent Application No: P2000-197380, filed June 29, 2000.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
TOTAL CLAIMS	20	180	160	\$18	\$2880
INDEPENDENT CLAIMS	03	6	3	\$80	\$1,360
	ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				\$270
	TOTAL FEE				\$5,220

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.
☒ A check in the amount of \$5,220 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: David R. Metzger
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Chicago, IL 60606-1080

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U-11

SIGNATURE: David R. Metzger

(No. 32,919)

DATE: June 28, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Masataka Shinoda

DOCKET NO. 09792909-5049

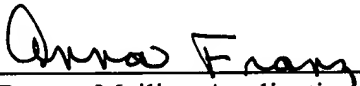
SERIAL NO.

DATE FILED:

INVENTION: "RECORDING MEDIUM, RECORDING AND REPRODUCING
METHOD AND RECORDING AND REPRODUCING APPARATUS"**EXPRESS MAIL CERTIFICATE OF MAILING**Express Mail" Mailing Label Number EL281568850USDate of Deposit June 28, 2001

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents and Trademarks, Box Patent, Washington, D.C. 20231:

1. Utility Patent Application Transmittal
2. Filing Fee \$5220
3. Specification (107 Pages)
4. Drawings (39 Sheets)
5. Copy of Priority Japanese Patent Application No: P2000-197380
6. Declaration and Power of Attorney (Unexecuted)
7. Preliminary Amendment
8. Postcard
9. Express Certificate of Mailing No: EL281568850US



Signature of Person Mailing Application

T08290"46095860

June 28, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

Re: Applicant: Masataka Shinoda
Title: "RECORDING MEDIUM, RECORDING AND REPRODUCING
METHOD AND RECORDING AND REPRODUCING APPARATUS"
Your Ref. No: S01P0964US00
Our Ref. No: 09792909-5049

Dear Sir:

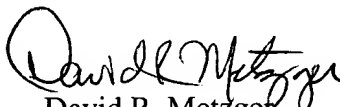
Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 180 claims (20 independent), 39 sheets of drawings (Figs. 1-47), unexecuted Declaration, Priority Japanese Patent Application and the filing fee of \$5,220 on behalf of

MASATAKA SHINODA

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL


David R. Metzger

Enclosures

09896094-068801